

Synthetic Vaccines: Synthesis of a Dimeric Tn Antigen-Lipopeptide Conjugate That Elicits Immune Responses against Tn-Expressing Glycoproteins

Tatsushi Toyokuni,^{1,a,b} Barbara Dean,^{1,a} Shaopei Cai,^{1,a} Diane Boivin,^{1,a} Sen-itiroh Hakomori,^{1,a,c} and Anil K. Singhal^{1,a,c}

The Biomembrane Institute
201 Elliott Avenue West, Seattle, Washington 98119
Departments of Chemistry and Pathobiology
University of Washington, Seattle, Washington 98195

Received August 5, 1993

Incomplete glycosylation has frequently been described in various experimental and human cancer cells. This results in the accumulation of the core-region structures including Tn (GalNAc α 1 \rightarrow O-Ser/Thr),^{2,3} sialosyl Tn (NeuAc α 2 \rightarrow 6GalNAc α 1 \rightarrow O-Ser/Thr),³⁻⁵ and T (Gal β 1 \rightarrow 3GalNAc α 1 \rightarrow O-Ser/Thr)^{2,3} antigens. These antigens in normal cells are cryptic since they are further elongated to construct complex oligosaccharide chains, whereas those in most human carcinomas are exposed at the surface due to a block in carbohydrate chain elongation. Thus, the expression of these antigens is highly specific to cancer cells and is essentially absent in normal cells.⁶

We have been involved in development of synthetic vaccines based on tumor-associated carbohydrate antigens for the active specific immunotherapy of cancer.^{7,8} We have recently shown that immunization of mice with either desialylated ovine submaxillary mucin (A-OSM),^{9a} which predominantly expresses the Tn antigen (>96% GalNAc by sugar analysis),⁹ or synthetic dimeric Tn antigen (di-Tn) coupled to protein carriers (keyhole limpet hemocyanin (KLH) or ovine serum albumin)¹⁰ effectively provides protection in mice against a challenge by highly metastatic TA3-Ha murine mammary adenocarcinoma,¹¹ which

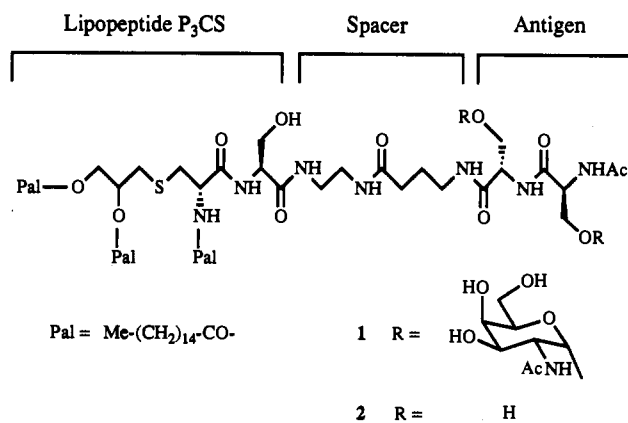


Figure 1. Structure of dimeric Tn antigen-lipopeptide conjugate.

show strong expression of Tn antigen.¹² Ideally, synthetic vaccines should elicit a strong immune response without the aid of macromolecular carriers or adjuvants, which then would eliminate irrelevant determinants and ambiguity in composition and structure.¹³ In this communication, we report that synthetic di-Tn coupled to tripalmitoyl-S-glycerylcysteine-serine (P₃CS)^{14,15} (Figure 1) is a completely synthetic, low-molecular-weight, carrier-free immunogen that elicits immune responses against Tn-expressing glycoproteins. To our knowledge, this is the first example that a synthetic, small carbohydrate antigen can generate an immune response against a tumor-associated carbohydrate antigen without the use of a macromolecular carrier or an adjuvant.

The assembly of the di-Tn¹⁶ 8, suitable for coupling to the functionalized P₃CS 14, is shown in Scheme 1. As a spacer, 4-aminobutyric acid was introduced to the properly protected Tn antigen 3,^{16d} by the *N*-hydroxysuccinimide (NHS) ester method (3 \rightarrow 4 \rightarrow 5). The amino group of 5 was unmasked by acidolysis to give the amine, whose condensation with the NHS ester 4 yielded the dimer 6. Sequential acidolysis, capping with Ac₂O

* Address correspondence to these authors at The Biomembrane Institute. (1) (a) The Biomembrane Institute. (b) Department of Chemistry, University of Washington. (c) Department of Pathobiology, University of Washington.

- (2) Springer, G. F. *Science* 1984, 224, 1198-1206.
(3) Itzkowitz, S. H.; Yuan, M.; Montgomery, C. K.; Kjeldsen, T.; Takahashi, H. K.; Bigbee, W. L.; Kim, Y. S. *Cancer Res.* 1989, 49, 197-204.
(4) Itzkowitz, S. H.; Bloom, E. J.; Kokal, W. A.; Modin, G.; Hakomori, S.; Kim, Y. S. *Cancer* 1990, 66, 1960-1966.
(5) Kjeldsen, T.; Clausen, H.; Hirohashi, S.; Ogawa, T.; Iijima, H.; Hakomori, S. *Cancer Res.* 1988, 48, 2214-2220.
(6) Some of the recent reviews include the following: (a) Hakomori, S. *Curr. Opin. Immunol.* 1991, 3, 646-653. (b) Singhal, A.; Hakomori, S. *BioEssays* 1990, 12, 223-230. (c) Hakomori, S. *Adv. Cancer Res.* 1989, 52, 257-331.
(7) For recent advances in cancer vaccines, see the following articles: (a) Dranoff, G.; Jaffee, E.; Lazenby, A.; Golumbek, P.; Levitsky, H.; Brose, K.; Jackson, V.; Hamada, H.; Pardoll, D.; Mulligan, R. *Proc. Natl. Acad. Sci. U.S.A.* 1993, 90, 3539-3543. (b) Tao, M.-H.; Levy, R. *Nature* 1993, 362, 755-758. (c) Boon, T. *Int. J. Cancer* 1993, 54, 177-180. (d) Livingston, P. O. *Curr. Opin. Immunol.* 1992, 4, 624-629. (e) Knuth, A.; Wölfel, T.; Meyer zum Büschenfelde, K.-H. *Curr. Opin. Immunol.* 1991, 3, 659-664.
(8) In recent years active immunization with structurally well defined carbohydrate antigens has shown some promise in suppression of tumor growth. (a) Maclean, G. D.; Bowen-Yacyshyn, M. B.; Samuel, J.; Meikle, A.; Stuart, G.; Nation, J.; Poppema, S.; Jerry, M.; Koganty, R.; Wong, T.; Longenecker, B. M. *J. Immunol.* 1992, 11, 292-305. (b) Fung, P. Y. S.; Madej, M.; Koganty, R. R.; Longenecker, B. M. *Cancer Res.* 1990, 50, 4308-4314. (c) Livingston, P. O.; Ritter, G.; Srivastava, P.; Padavan, M.; Calves, M. J.; Oettgen, H. F.; Old, L. J. *Cancer Res.* 1989, 49, 7045-7050. (d) Harada, Y.; Sakatsume, M.; Nores, G. A.; Hakomori, S.; Taniguchi, M. *Jpn. J. Cancer Res.* 1989, 80, 988-992. (e) Livingston, P. O.; Natoli, E. J.; Calves, M. J.; Stockert, E.; Oettgen, H. F.; Old, L. J. *Proc. Natl. Acad. Sci. U.S.A.* 1987, 84, 2911-2915.
(9) (a) Singhal, A.; Fohn, M.; Hakomori, S. *Cancer Res.* 1991, 51, 1406-1411. (b) O'Boyle, K. P.; Zamore, R.; Adluri, S.; Cohen, A.; Kemeny, N.; Welt, S.; Lloyd, K. O.; Oettgen, H. F.; Old, L. J.; Livingston, P. O. *Cancer Res.* 1992, 52, 5663-5667.
(10) Singhal, A.; Toyokuni, T.; Boivin, D.; Hakomori, S. 2nd International Workshop on Carcinoma-Associated Mucins, Cambridge, England, Aug 3-6, 1992.
(11) Codington, C. F.; Sanford, B. H.; Jeanloz, R. W. *J. Natl. Cancer Inst.* 1973, 51, 585-591.

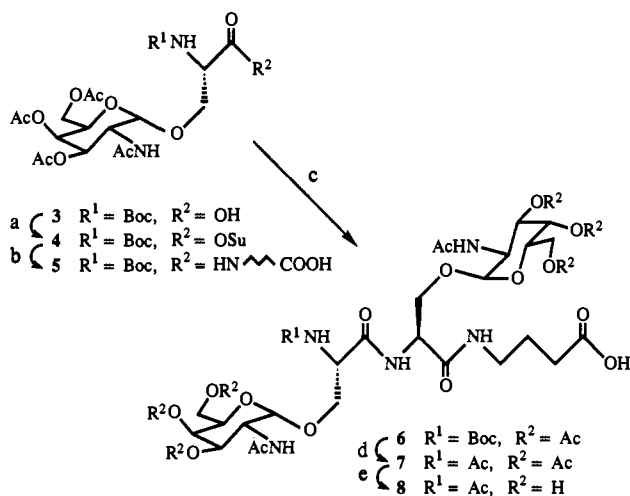
(12) Longenecker et al. have shown that synthetic T antigen coupled to KLH also provides similar protection in mice. See ref 8b.

(13) Some techniques have been developed for peptide antigens to eliminate the use of macromolecular carriers. (i) Liposomes: (a) Friede, M.; Muller, S.; Briand, J.-P.; Van Regenmortel, M. H. V.; Schuber, F. *Mol. Immunol.* 1993, 30, 539-547. (b) Gregoriadis, G. *Immunol. Today* 1990, 11, 89-96. (c) Allison, A. C.; Gregoriadis, G. *Nature* 1974, 252, 252. (ii) Polymerization of synthetic peptide antigens: (d) DiMarchi, R.; Brooke, G.; Gale, C.; Cracknell, V.; Doel, T.; Mowat, N. *Science* 1986, 2, 639-641. (iii) The multiple antigen peptide system: (e) Lu, Y.-A.; Clavijo, P.; Galantino, M.; Shen, Z.-Y.; Liu, W.; Tam, J. P. *Mol. Immunol.* 1991, 28, 623-630. (f) Tam, J. P. *Proc. Natl. Acad. Sci. U.S.A.* 1988, 85, 5409-5413.

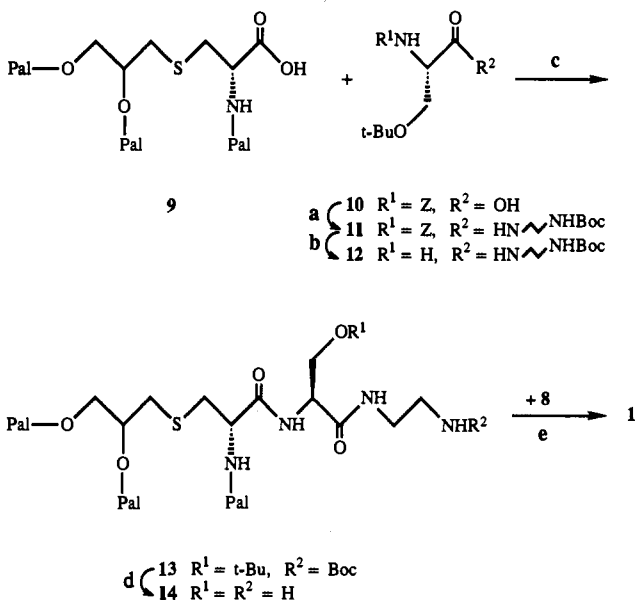
(14) The lipopeptide P₃CS is a highly potent B-cell and macrophage activator derived from the immunologically active N-terminal sequence of the principal lipoprotein of *Escherichia coli*. (a) Hoffmann, P.; Wiesmüller, K.-H.; Metzger, J.; Jung, G.; Bessler, W. G. *Biol. Chem. Hoppe-Seyler* 1989, 370, 575-582. (b) Bessler, W. G.; Cox, M.; Lex, A.; Suhr, B.; Wiesmüller, K. H.; Jung, G. *J. Immunol.* 1985, 135, 1900-1905.

(15) Jung et al. have developed synthetic peptide vaccines with a length of approximately 15 amino acids by conjugating the peptide antigens with P₃CS. (a) Jung, G.; Wiesmüller, K.-H.; Becker, G.; Bühring, H.-J.; Bessler, W. G. *Angew. Chem., Int. Ed. Engl.* 1985, 24, 872-873. (b) Deres, K.; Schild, H.; Wiesmüller, K.-H.; Jung, G.; Rammensee, H.-G. *Nature* 1989, 342, 561-564. See also their recent review: (c) Wiesmüller, K.-H.; Bessler, W. G.; Jung, G. *Int. J. Pept. Protein Res.* 1992, 40, 255-260. Recently, tripalmitoyl-S-glycerylcysteine (P₃C) was used to design a synthetic AIDS vaccine: (d) Defoort, J.-P.; Nardelli, B.; Huang, W.; Ho, D. D.; Tam, J. P. *Proc. Natl. Acad. Sci. U.S.A.* 1992, 89, 3879-3883.

(16) Syntheses of glycopeptides containing clusters of Tn, sialosyl Tn, or T antigen have been reported. For example, see: (a) Kunz, H. *Pure Appl. Chem.* 1993, 65, 1223-1232 and references cited therein. (b) Peters, S.; Bielfeldt, T.; Meldal, M.; Bock, K.; Paulsen, H. *J. Chem. Soc., Perkin Trans. I* 1992, 1163-1171 and references cited therein. (c) Nakahara, Y.; Iijima, H.; Shibayama, S.; Ogawa, T. *Carbohydr. Res.* 1991, 216, 211-225. (d) Toyokuni, T.; Dean, B.; Hakomori, S. *Tetrahedron Lett.* 1990, 31, 2673-2676. (e) Bencomo, V. V.; Sinaý, P. *Carbohydr. Res.* 1983, 116, C9-C12. (f) Ferrari, B.; Pavia, A. A. *Int. J. Pept. Protein Res.* 1983, 22, 549-559.

Scheme 1. Synthesis of Dimeric Tn Antigen 8^a

^a Reagents and conditions: (a) NHS, EtN=C=N(CH₂)₃NMe₂, CH₂Cl₂, 40 min; (b) H₂N(CH₂)₃COOH, EtN(*i*-Pr)₂, DMF-H₂O, 30 min, 89% from 3; (c) (1) TFA, 10 min, (2) 4, EtN(*i*-Pr)₂, DMF, 2 h, 70% from 5; (d) (1) TFA, 10 min, (2) Ac₂O, C₆H₅N, 1 h, 92%; (e) 1 M aqueous NaOH, MeOH-H₂O, 15 min, 91%.

Scheme 2. Construction of Dimeric Tn Antigen-Lipopeptide Conjugate 1^a

^a Reagents and conditions: (a) (1) HOBt, (*i*-Pr)₂N=C=N(*i*-Pr)₂, DMF, 30 min, (2) H₂N(CH₂)₂NHBoc, 1 h, 89%; (b) 10% Pd/C, H₂, MeOH, quantitative; (c) (1) activation of 9 (HOBt, (*i*-Pr)₂N=C=N(*i*-Pr)₂, CH₂Cl₂, 30 min), (2) coupling to 12 (1.5 h, 83%); (d) TFA, 1 h, quantitative; (e) (1) activation of 8 (NHS, EtN=C=N(CH₂)₃NMe₂, DMF, 4 h), (2) coupling to 14 (EtN(*i*-Pr)₂, DMF, 1 h, 57%).

(→7), and saponification furnished 8 in 52% overall yield from 3.

Scheme 2 summarizes the construction of the di-Tn-P₃CS conjugate 1. A linker was installed at the carboxyl group of the serine derivative 10 to generate amino functionality, which allows coupling to the carboxyl group of 8, by attachment of mono-*N*-Boc-ethylenediamine¹⁷ (→11). After hydrogenolysis, the resulting amine 12 was joined to the lipopeptide P₃C-OH^{18,19}

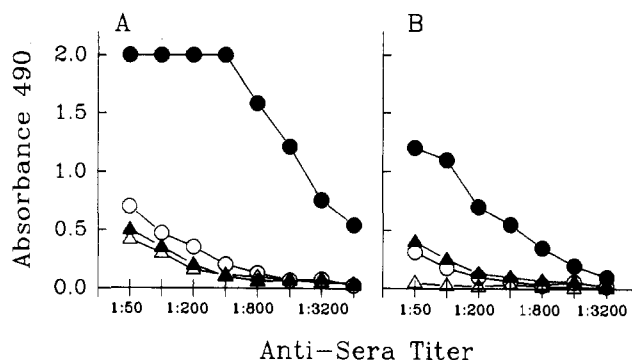


Figure 2. Serum anti-Tn IgM (A) and IgG (B) titers in mice immunized with either 1 (●), 2 (▲), 14 (○), or Intralipid (△). All compounds were dissolved in 1:1 Intralipid²¹-PBS at a concentration of 0.5 mg/mL. Mice were immunized twice (one week apart) with 100 μg of antigen subcutaneously at the base of the tail and at the neck. Seven days after the second immunization, sera were titered against A-OSM which predominantly expresses the Tn antigen⁹ in an enzyme-linked immunosorbant assay (ELISA).

(9) by the 1-hydroxybenzotriazole (HOBt) method. Acidolysis of the product 13 to the amine 14, followed by coupling to 8 by the NHS ester method, completed the conjugation yielding 1.

The conjugate 1 was examined for its ability to stimulate Tn antigen specific immune response in mice. Mice immunized with 1 showed high anti-Tn antibody titers (binding against A-OSM). Interestingly, this immunization generated not only high IgM antibody response (Figure 2A) but also measurable IgG anti-Tn response (Figure 2B). This is significant since carbohydrate antigens are thought to stimulate B cells in the absence of any helper T cell enlistment and produce only IgM antibody response. None of the control groups, immunized with 2,²⁰ 14, and Intralipid,²¹ showed any significant anti-Tn antibody response. Similar high anti-Tn binding was seen against di-Tn coupled to ovine serum albumin (data will be published elsewhere). It is possible that the lipopeptide is able to enhance the uptake of Tn antigen by the appropriate antigen presenting cells for increased immune response. Studies are underway to determine the effect of this antigen directly on the stimulation of T cells.

Acknowledgment. We thank Ms. Mary Ellen Salyan for MS analyses. This study was supported by funds from The Biomembrane Institute.

Supplementary Material Available: ¹H NMR spectra for compounds 1, 2, 4-8, and 11-14 and experimental procedures (17 pages). This material is contained in many libraries on microfiche, immediately follows this article in the microfilm version of the journal, and can be ordered from the ACS; see any current masthead page for ordering information.

(17) Dowd, M. M.; Baynes, J. W.; Thorpe, S. R. *Anal. Biochem.* **1992**, *205*, 369-371.

(18) We used 9 as a diastereomeric mixture, which was readily prepared from cystine in six steps according to the procedure of Wiesmüller et al. Wiesmüller, K.-H.; Bessler, W.; Jung, G. *Hoppe-Seyler's Z. Physiol. Chem.* **1983**, *364*, 593-606.

(19) The lipopeptide with *R* configuration at the asymmetric carbon of the glycerol unit is reported to be immunologically more active than the *S* isomer. Metzger, J.; Jung, G.; Bessler, W. G.; Hoffmann, P.; Strecker, M.; Lieberknecht, A.; Schmidt, U. *J. Med. Chem.* **1991**, *34*, 1969-1974.

(20) The serylserine-P₃CS conjugate 2 was prepared in a manner similar to that described for 1.

(21) Intralipid (KabiVitrum, Inc., Clayton, NC) was used to solubilize 1, 2, and 14 for immunization.